CANNON JAMES ANTHONY

Form 4 January 05, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

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Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **CANNON JAMES ANTHONY**

2. Issuer Name and Ticker or Trading

Symbol

ZIOPHARM ONCOLOGY INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

Issuer

[ZIOP]

3. Date of Earliest Transaction X_ Director 10% Owner

below)

Officer (give title Other (specify

BBDO, 1285 AVENUE OF THE

(Street)

(State)

(First)

(Middle)

(Zip)

AMERICAS

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

12/31/2009

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10019

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Owned (Instr. 4) Following Reported

Beneficial Indirect (I) Ownership (Instr. 4)

(A) Transaction(s) or

(Instr. 3 and 4) Price Code V Amount (D)

Common

value

Stock, 12/31/2009 \$.001 par

 $A^{(6)}$ 7,500

\$0 Α

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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227,500

SEC 1474 (9-02)

Indirect

1

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (right to buy)	\$ 4.31						<u>(1)</u>	12/22/2014	Common Stock, \$.001 par value	15,029
Director Stock Option (right to buy)	\$ 5.01						04/26/2006	04/26/2016	Common Stock	15,000
Director Stock Option (right to buy)	\$ 6.49						<u>(2)</u>	12/13/2016	Common Stock	15,000
Director Stock Option (right to buy)	\$ 4.85						(3)	06/18/2017	Common Stock	15,000
Stock Option (right to buy)	\$ 2.73						<u>(4)</u>	12/12/2017	Common Stock	20,000
Stock Option (right to buy)	\$ 0.7						<u>(5)</u>	05/13/2019	Common Stock	15,000
Warrants to Purchase common stock	\$ 4.02						12/09/2009	12/09/2014	Common Stock	87,500

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Stock Option (right to	\$ 2.85	12/31/2009	A	7,500	<u>(7)</u>	12/31/2019	Common Stock, \$.001 par	7,500
(right to buy)	7			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	_		\$.001 par value	.,

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

CANNON JAMES ANTHONY

BBDO

1285 AVENUE OF THE AMERICAS

NEW YORK, NY 10019

Signatures

/s/ James A.
Cannon

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 7,515 shares vest on 12/22/05 and 7,514 shares vest on 12/22/06.
- (2) 5,000 shares vest on each of 12/13/07, 12/13/08 and 12/13/09.
- (3) 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.
- (4) 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.
- (5) 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.
- (6) Grant of restricted stock; restrictions lapse in 2 equal annual installments beginning on the anniversary of the date of grant.
- (7) 3,750 shares vest on each of 12/31/2011 and 12/31/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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