

Guthrie Michael
Form 4
August 02, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Guthrie Michael

(Last) (First) (Middle)

C/O TRUECAR, INC., 120
BROADWAY, SUITE 200

(Street)

SANTA MONICA, CA 90401

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TrueCar, Inc. [TRUE]

3. Date of Earliest Transaction
(Month/Day/Year)

07/31/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
CFO

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/31/2017		M	42,761	A \$ 7.92	390,467	D
Common Stock	07/31/2017		M	51,986	A \$ 8.88	442,453	D
Common Stock	07/31/2017		S ⁽¹⁾	94,747	D \$ 18.7732	347,706	D
Common Stock	08/01/2017		M	42,806	A \$ 8.88	390,512	D
Common Stock	08/01/2017		M	47,499	A \$ 9.255	438,011	D

Edgar Filing: Guthrie Michael - Form 4

Common Stock	08/01/2017	M	20,167	A	\$ 9.255	458,178	D
Common Stock	08/01/2017	S ⁽¹⁾	110,472	D	\$ 18.8358 ⁽³⁾	347,706	D
Common Stock	08/02/2017	M	84,833	A	\$ 9.255	432,539	D
Common Stock	08/02/2017	S ⁽¹⁾	84,833	D	\$ 18.3122 ⁽⁴⁾	347,706	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.92	07/31/2017		M	42,761	⁽⁵⁾ 06/26/2023	Common Stock 42,761
Employee Stock Option (right to buy)	\$ 8.88	07/31/2017		M	51,986	⁽⁶⁾ 10/22/2023	Common Stock 51,986
Employee Stock Option (right to buy)	\$ 8.88	08/01/2017		M	42,806	⁽⁶⁾ 10/22/2023	Common Stock 42,806
	\$ 9.255	08/01/2017		M	47,499	⁽⁷⁾ 02/07/2024	47,499

Employee Stock Option (right to buy)								Common Stock	
Employee Stock Option (right to buy)	\$ 9.255	08/01/2017	M	20,167	<u>(5)</u>	02/28/2024	Common Stock	20,167	
Employee Stock Option (right to buy)	\$ 9.255	08/02/2017	M	84,833	<u>(5)</u>	02/28/2024	Common Stock	84,833	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Guthrie Michael C/O TRUECAR, INC. 120 BROADWAY, SUITE 200 SANTA MONICA, CA 90401			CFO	

Signatures

/s/ Scott Watkinson, by Power of Attorney

08/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 12, 2017.
The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.55 to \$19.00 per share, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (2), (3) and (4) to this Form 4.
- (2) The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.72 to \$19.02 per share, inclusive.
- (3) The reported price in Column 4 is weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.05 to \$18.69 per share, inclusive.
- (4) The shares subject to the option are fully vested and immediately exercisable.
- (5) The shares subject to the option vest in forty-eight (48) equal monthly installments beginning on February 1, 2014.
- (6) The shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 7, 2014.
- (7) The shares subject to the option vest in forty-eight (48) equal monthly installments beginning on March 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Edgar Filing: Guthrie Michael - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.