BOSTON BEER CO INC

Form 4

September 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** ROPER MARTIN F | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|--|---|--|--|
| | BOSTON BEER CO INC [SAM] | (Check all applicable) | | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | | | |
| C/O THE BOSTON BEER COMPANY, INC., ONE DESIGN CENTER PLACE, SUITE 850 | (Month/Day/Year) 09/08/2016 | X Director 10% Owner X Officer (give title Other (specify below) President and C.E.O. | | |
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| POSTON MA 02210 | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |

BOSTON, MA 02210

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially O | wned |
|--|------|

Person

| (City) | (State) | (Zip) Tab | le I - Non-l | Derivative | Secur | ities Acqui | red, Disposed of, | or Beneficial | y Owned |
|--------------------------------------|---|---|---|------------|-----------|--|--|---|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | (IIISU: 4) | |
| Class A Common | 09/08/2016 | | M(1) | 10,000 | A | \$ 43.55 | 33,273 | D | |
| Class A Common | 09/08/2016 | | S <u>(1)</u> | 2,700 | D | \$ 178.97 (2) | 30,573 | D | |
| Class A Common | 09/08/2016 | | S <u>(1)</u> | 3,600 | D | \$ 179.88 (3) | 26,973 | D | |
| Class A Common | 09/08/2016 | | S <u>(1)</u> | 700 | D | \$ 180.69 | 26,273 | D | |

Edgar Filing: BOSTON BEER CO INC - Form 4

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Derivative Date Securities (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4, | |
|---|---|--------------------------------------|---|--|---|---|--------------------------|
| | | | | Code V | (A) (D) | Date Exercisable Expiration Date | Am e Title Nur Sha |
| Class A Common Stock Option | \$ 43.55 | 09/08/2016 | | M(1) | 10,000 | 08/13/2013(1) 08/11/2017(1) | Class A 180 Common |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|----------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| ROPER MARTIN F C/O THE BOSTON BEER COMPANY, INC. ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210 | X | | President and C.E.O. | | | |

Signatures

Michael G. Andrews under POA for the benefit of Martin F. 09/09/2016 Roper

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on July (1) 29, 2016. The Rule 10b5-1 trading plan and the transactions contemplated thereby were approved by unanimous consent of the Class B Stockholders of the Company.
- (2) The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 2,700 shares is from \$178.51 to \$179.48. The Filing Person will provide full information regarding the number of shares sold at each separate price

Reporting Owners 2

Edgar Filing: BOSTON BEER CO INC - Form 4

upon request of the SEC, the Registrant, or a shareholder of the Registrant.

- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 3,600 shares (3) is from \$179.51 to \$180.44. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 700 shares is from \$180.51 to \$181.15. The Filing Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.