Edgar Filing: ROCKWELL COLLINS INC - Form 4

ROCKWEI Form 4 January 08, FORN	ЛЛ		FOU						OMMERION	-	PROVAL	
		UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549								OMB Number:	3235-0287	
Check the check	agor	box										
subject Section Form 4 Form 5	to STATEME 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNED SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange A									2005 verage 's per 0.5	
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).												
(Print or Type	Responses)											
CHIUSANO ROBERT M Symbol						Ticker or		-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mid							[COL]	(Check all applicable)			
(Last) (First) (Middle) 3. Date of (Month/D) M/S 124-123, 400 COLLINS ROAD 01/04/20 NE						ansaction			Director 10% Owner X_ Officer (give title Other (specify below) below) ExecVP and Special Asst to CEO			
	(Street)	4	. If Ame	endment, Date Original					6. Individual or Joint/Group Filing(Check			
Filed(Mor CEDAR RAPIDS, IA 52498-0001				nth/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1.Title of Security (Instr. 3)	a	2A. Deemed Execution D my Month/Day	Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A)				of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6.7. Nature ofOwnershipIndirectForm: DirectBeneficial(D) orOwnershipIndirect (I)(Instr. 4)(Instr. 4)		
				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	01/04/2007			S <u>(2)</u>		100	D	\$ 63.36	19,210.4317 (1)	D		
Common Stock	01/04/2007			S <u>(2)</u>		600	D	\$ 63.37	18,610.4317 (1)	D		
Common Stock	01/04/2007			S <u>(2)</u>		6,800	D	\$ 63.38	11,810.4317 (1)	D		
Common Stock	01/04/2007			S <u>(2)</u>		10,300	D	\$ 63.4	1,510.4317 <u>(1)</u>	D		
Common Stock	01/04/2007			S <u>(2)</u>		100	D	\$ 63.41	1,410.4317 <u>(1)</u>	D		

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Common Stock	01/04/2007	S <u>(2)</u>	100	D	\$ 63.45	1,310.4317 (1)	D	
Common Stock						10,154	I	By spouse's trust
Common Stock						4,624.0341 (<u>3</u>)	I	By Savings Plan
Reminder: Rej	port on a separate line for each class of sec	urities bene	-		-	ndirectly. nd to the collec	tion of	SEC 1474

required to respond to the collection of (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Title Amoun		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securiti	ies	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								,	Amount		
						Date Exercisable	Expiration Date	Title N	or Number of		

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships						
, g	Director	10% Owner	Officer	Other			
CHIUSANO ROBERT M M/S 124-123 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			ExecVP and Special Asst to CEO				
Signatures							
/s/ Gary R. Chadick, Attorney-in-Fact	C	01/05/2007					
**Signature of Reporting Person		Date					

Shares

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of December 1, 2006.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (3) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of December 1, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.