

ROCKWELL COLLINS INC  
Form 4  
November 21, 2006

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHIUSANO ROBERT M

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL COLLINS INC [COL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
M/S 124-123, 400 COLLINS ROAD  
NE  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/20/2006

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
ExecVP and Special Asst to CEO

CEDAR RAPIDS, IA 52498-0001  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/20/2006		M		4,500	A	\$ 22.35
							5,810.4317 <sup>(1)</sup>
Common Stock	11/20/2006		S		4,400	D	\$ 59.75
							1,410.4317 <sup>(1)</sup>
Common Stock	11/20/2006		S		100	D	\$ 59.76
							1,310.4317 <sup>(1)</sup>
Common Stock	11/21/2006		M		5,256	A	\$ 22.35
							6,566.4317 <sup>(1)</sup>
Common Stock	11/21/2006		M		50,244	A	\$ 27.97
							56,810.4317 <sup>(1)</sup>

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Common Stock	11/21/2006	S	54,700	D	\$ 59.7	2,110.4317 <sup>(1)</sup>	D	
Common Stock	11/21/2006	S	100	D	\$ 59.71	2,010.4317 <sup>(1)</sup>	D	
Common Stock	11/21/2006	S	600	D	\$ 59.72	1,410.4317 <sup>(1)</sup>	D	
Common Stock	11/21/2006	S	100	D	\$ 59.75	1,310.4317 <sup>(1)</sup>	D	
Common Stock						10,154	I	By spouse's trust
Common Stock						4,627.5853 <sup>(2)</sup>	I	By Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 22.35	11/20/2006		M	4,500	<sup>(3)</sup> 07/05/2011	Common Stock	4,500
Stock Option (Right to Buy)	\$ 22.35	11/21/2006		M	5,256	<sup>(3)</sup> 07/05/2011	Common Stock	5,256
Stock Option (Right to Buy)	\$ 27.97	11/21/2006		M	50,244	<sup>(3)</sup> 11/06/2013	Common Stock	50,244

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHIUSANO ROBERT M M/S 124-123 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			ExecVP and Special Asst to CEO	

## Signatures

/s/ Vaughn M. Klopfenstein,  
 Attorney-in-Fact

11/21/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of October 2, 2006.
  - (2) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of October 2, 2006.
  - (3) The options vested in installments and are currently exercisable.
  - (4) Employee stock options granted pursuant to the Company's stock based plans.

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