Edgar Filing: Shrewsberry John R. - Form 4

Shrewsberr Form 4 March 19, 2	•												
FOR	M 4 _{UNITED}	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									O OMB Numi	ber: 323	VAL 35-0287
if no lo subject Section Form 4 Form 5 obligat may co	to 16. or Filed pu ions Section 17	ox STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Immediate Company Act of 1040											2005
(Print or Type	e Responses)												
1. Name and Shrewsber	Address of Reporting ry John R.	g Person <u>*</u>	2. Issu Symbol WELL COMF	LS FA	RG	0 &		ıg	5. Re Issuer	r	-	ng Person(s) plicable)	ō
(Last) 420 MON	(First) TGOMERY STR	(Middle) EET	3. Date (Month/ 03/14/	/Day/Y		Fran	saction		X below	· · · · · · · · · · · · · · · · · · ·	bel	10% Owner Other (spec ow) VP & CFO	
	(Street)	104	4. If An Filed(M				Original		Applic _X_ F	cable Line) form filed by Or	ne Repo	up Filing(Cheo orting Person One Reporting	
(City)	NCISCO, CA 94	(Zip)			T	D	• • •		Persor		D	e • 11 o	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme	d Date, if	3.	actio 8)	4. S nDis	ivative Securities Acquiposed of (D) str. 3, 4 and 5)			5. Amount Securities Beneficially Owned Following Reported Transactior (Instr. 3 and	of y 1(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	ned 7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 2/3 Par Value	03/14/2019			G	V	704	4	D	\$ 0	350,901		Ι	Through Family Trust
Common Stock, \$1	03/15/2019			М		25	5,431.5136	А	\$ 0	255,431.	5136	D	

F

(1)

126,643.5136 D

Common Stock, \$1

2/3 Par Value

2/3 Par

Value

03/15/2019

1

D

\$ 50.66 128,788

Common Stock, \$1 2/3 Par Value	G V 503	D \$0	350,398	I	Through Family Trust
Common Stock, \$1 2/3 Par Value			7,800.33 (2)	Ι	Through 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and Underlying (Instr. 3 and	Secu
	,			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
2016 Performance Shares	<u>(3)</u>	03/15/2019		М	255,431.5136	(4)	(4)	Common Stock, \$1 2/3 Par Value	2:

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Shrewsberry John R. 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104			Senior Executive VP & CF	С				
Signatures								
John R. Shrewsberry, by Anthon Attorney-in-Fact	y R. Augl	iera, as	03/19/2019					

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2019 upon settlement of a
(1) Performance Share award granted on February 23, 2016 for the three-year performance period ended December 31, 2018 (as previously disclosed on a Form 4 filed on February 28, 2019).

- (2) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of February 28, 2019, as if investable cash equivalents held by Plan were fully invested in Company common stock.
- (3) Each Performance Share represents a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.

Represents the final number of 2016 Performance Shares earned for the three-year performance period ended December 31, 2018. The 2016 Performance Shares will be settled in shares of common stock of the Company on March 15, 2019. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company

common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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