**BERG JEFFREY** Form 4 June 01, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

See Instruction

1. Name and Address of Reporting Person \* **BERG JEFFREY** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Middle)

(Zin)

ORACLE CORP [ORCL] 3. Date of Earliest Transaction

(Check all applicable)

C/O DELPHI ASSET MGMT

(Month/Day/Year) 05/31/2018

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title

CORPORATION, 5525 KIETZKE LANE, SUITE 200

(State)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

RENO, NV 89511

(City)

| (City)     | (State)             | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |                     |        |            |                  |              |              |
|------------|---------------------|--|------------|---------------------|--------|------------|------------------|--------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed   | 3.         | 4. Securiti         | ies Ac | quired     | 5. Amount of     | 6.           | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if   | Transactio | n(A) or Dis         | posed  | of         | Securities       | Ownership    | Indirect     |
| (Instr. 3) |                     | any  | Code       | (D)                 |        |            | Beneficially     | Form: Direct | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5) |        | Owned      | (D) or           | Ownership    |              |
|            |                     |  |            |                     |        |            | Following        | Indirect (I) | (Instr. 4)   |
|            |                     |  |            |                     | (A)    |            | Reported         | (Instr. 4)   |              |
|            |                     |  |            |                     | or     |            | Transaction(s)   |              |              |
|            |                     |  | Code V     | Amount              | (D)    | Price      | (Instr. 3 and 4) |              |              |
| C          |                     |  |            |                     |        |            |                  |              | Indirect     |
| Common     | 05/31/2018          |  | M          | 1,406               | A      | <u>(1)</u> | 174,206          | I            | Ownership    |
| Stock      |                     |  |            | -,                  |        |            | ,                |              | (2)          |
|            |                     |  |            |                     |        |            |                  |              | _            |
| Common     |                     |  |            |                     |        |            |                  |              | Indirect     |
|            | 05/31/2018          |  | M          | 11,251              | A      | <u>(1)</u> | 185,457          | I            | Ownership    |
| Stock      |                     |  |            |                     |        |            |                  |              | (2)          |
|            |                     |  |            |                     |        |            |                  |              |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)   | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock Unit                            | (1)   | 05/31/2018                              |   | A                                      | 9,952   |        | (3)  | (3)                | Common<br>Stock   | 9,952                               |
| Restricted<br>Stock Unit                            | (1)   | 05/31/2018                              |   | M                                      |   | 1,406  | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 1,406                               |
| Restricted<br>Stock Unit                            | (1)   | 05/31/2018                              |   | M                                      |   | 11,251 | (3)  | (3)                | Common<br>Stock   | 11,251                              |

Deletionship

## **Reporting Owners**

| Reporting Owner Name / Address |          | Kelationsi |         |       |
|--------------------------------|----------|------------|---------|-------|
|                                | Director | 10% Owner  | Officer | Other |
| ~ *FFFFFF                      |          |            |         |       |

BERG JEFFREY C/O DELPHI ASSET MGMT CORPORATION 5525 KIETZKE LANE, SUITE 200 RENO, NV 89511



### **Signatures**

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Jeffrey Berg (POA filed 4/12/16)

06/01/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) 5,000 shares are held indirectly by spouse; 86,283 shares are held in a GRAT and 94,174 are held in a Trust.
- (3) Restricted Stock Units vest 100% on first anniversary of grant date.
- (4) Restricted stock units vest in four equal annual installments, beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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