Edgar Filing: ZELL SAMUEL - Form 4

ZELL SAMU Form 4 March 02, 20 FORN Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	D18 I 4 UNITED S is box ger 6. r Filed purs section 17(a	ENT O	Was F CHAN Section 10	hington GES IN SECUF 5(a) of th ility Hol	, D.C. 20 BENEF RITIES le Securit ding Con	549 ICIA ties E	LOW Exchang y Act o	COMMISSION NERSHIP OF ge Act of 1934, of 1935 or Section 40	N OMB Number: Expires: Estimate burden h response	January 31, 2005 d average ours per		
ZELL SAMUEL Symbo			Symbol		I Ticker or			5. Relationship of Reporting Person(s) to Issuer				
(Last) (First) (Middle) 3. Date of J						- 1 - 4	,	(Check all applicable)				
TWO NORTH RIVERSIDE(Month/E02/28/2PLAZA, SUITE 600				-				X Director 10% Owner Officer (give titleX Other (specify below) below) Chairman of the Board				
				ndment, Date Original th/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
CHICAGO,	IL 60606							Person	More than One	Reporting		
(City)	(State)	(Zip)	Table	e I - Non-l	Derivative	Secur	ities Ac	quired, Disposed	of, or Benefic	cially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	on Date, if	Code	4. Secur ion(A) or D (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Shares Of Beneficial Interest	02/28/2018			А	2,092 (1)	Α	\$ 47.8	139,806 <u>(2)</u>	D			
Common Shares Of Beneficial Interest								600 <u>(3)</u>	I	By Spouse, Trustee for Helen Zell Revocable Trust		
Common Shares Of Beneficial								1,206,968 (4)	Ι	Samstock, L.L.C.		

Interest			
Common Shares Of Beneficial Interest	2,267,873 <u>(5)</u>	I	Samuel Zell Revocable Trust
Common Shares Of Beneficial Interest	694,344 <u>(6)</u>	Ι	SERP Account
Common Shares Of Beneficial Interest	1,246 <u>(7)</u>	I	SZ JoAnn Trust
Common Shares Of Beneficial Interest	1,246 <u>(8)</u>	Ι	SZ Kellie Trust
Common Shares Of Beneficial Interest	1,246 <u>(9)</u>	I	SZ Matthew Trust
Common Shares Of Beneficial Interest	154,480 <u>(10)</u>	Ι	Zell Family Foundation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	 6. Date Exercisable an actionNumber Expiration Date of (Month/Day/Year) 8) Derivative Securities 		Date	7. Titl Amou Under Securi (Instr.	int of lying	9. Nu Deriv Secur Bene Owne	
	Security			Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	d					Follo Repo Trans (Instr
			Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
ZELL SAMUEL TWO NORTH RIVERSIDE PLAZA CHICAGO, IL 60606	, SUITE 600	Х			Chairman of the Board			
Signatures								
s/ By: Jane Matz, Attorney-in-fact	03/02/2018							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares acquired through Equity Residential's Employee Share Purchase Plan.
- (2) Direct total includes restricted shares of Equity Residential scheduled to vest in the future.

Shares reported herein are beneficially owned by the Helen Zell Revocable Trust ("HZRT"). Mr. Zell's spouse, Helen Zell, is the trustee(3) of HZRT. Mr. Zell disclaims beneficial ownership of the shares reported as beneficially owned by him except to the extent of any pecuniary interest therein.

Shares reported herein are beneficially owned by Samstock, L.L.C. ("Samstock"). The sole member of Samstock is SZ Investments, L.L.C. ("SZ"). The managing member of SZ is Chai Trust Company, LLC ("Chai Trust"). Mr. Zell is not an officer or director of Chai

 (4) L.E.C. (32). The managing memory of 32 is char trust company, EEC (32 in trust), Mr. Zen is not an officer of director of char Trust and does not have voting or dispositive power over such shares. Mr. Zell disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Shares reported herein are owned by the Samuel Zell Revocable Trust. Mr. Zell is the sole trustee and beneficiary of the Samuel Zell
(5) Revocable Trust, and, as such, he is the beneficial owner of the shares reported herein. Includes 2,229,427 shares previously held directly by the reporting person.

(6) Represents shares owned by Principal Trust Company, as Trustee of the Equity Residential Supplemental Executive Retirement Plan, for the benefit of the reporting person.

Shares reported herein are beneficially owned by the SZ JoAnn Trust, of which Chai Trust is the trustee. Mr. Zell is not an officer or

(7) director of Chai Trust and does not have voting or dispositive power over such shares. Mr. Zell disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Shares reported herein are beneficially owned by the SZ Kellie Trust, of which Chai Trust is the trustee. Mr. Zell is not an officer or
 director of Chai Trust and does not have voting or dispositive power over such shares. Mr. Zell disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Shares reported herein are beneficially owned by the SZ Matthew Trust, of which Chai Trust is the trustee. Mr. Zell is not an officer or
 director of Chai Trust and does not have voting or dispositive power over such shares. Mr. Zell disclaims beneficial ownership of such shares except to the extent of any pecuniary interest therein.

Shares reported herein are beneficially owned by the Zell Family Foundation ("Foundation"). Mr. Zell is a director of the Foundation;(10) however, Mr. Zell does not have voting or dispositive power over such shares, or any pecuniary interest therein, and therefore disclaims beneficial ownership of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.