LOREE JAMES M Form 4

December 05, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

Name and Address of Report LOREE JAMES M	ing Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
		STANLEY BLACK & DECKER, INC. [SWK]	(Check all applicable)			
(Last) (First)  1000 STANLEY DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/02/2017	Director 10% OwnerX_ Officer (give title Other (specification) below)  President & CEO			
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)  X Form filed by One Reporting Person			
NEW BRITAIN, CT 060:	53		Form filed by More than One Reporting  Person			

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/02/2017		D	1,995 (1)	D	\$ 168.235	102,923	D	
Common Stock	12/04/2017		D	1,530 (1)	D	\$ 170.05	101,393	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	Expiration I (Month/Day ive es ed ed		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (E	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Interest In Employer Stock Fund (401(k) Plan)	(3)					<u>(3)</u>	(3)	Common Stock	714.8464
Interest in Employer Stock Fund (Supplemental Plan) (4)	(3)					<u>(3)</u>	(3)	Common Stock	2,244.4797

## **Reporting Owners**

Reporting Owner Name / Address		Rel	ationships	
	D:	100/ 0	Off:	Othor

Director 10% Owner Officer Other

LOREE JAMES M 1000 STANLEY DRIVE NEW BRITAIN, CT 06053

President & CEO

### **Signatures**

/s/ Kathryn P. Sherer,

Attorney-in-Fact 12/05/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to cover taxes on vesting of restricted stock units
- (2) Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the previous calendar month including shares acquired or disposed of on various dates since balance was last reported.
- (3) Exempt

**(4)** 

Reporting Owners 2

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Represents shares held for the reporting person under the Company's Supplemental Plan as of the last day of the previous calendar month including shares acquired or disposed of on various dates since balance was last reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.