Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

FIDELITY SC Form 4	OUTHERN CO	ORP										
November 12,	, 2013											
FORM	4								OI	MB APPRC	VAL	
Check this	UNITE	O STATES			ND EXCH. D.C. 20549		E COMM	ISSION	OMB Numb	ber:	35-0287	
if no longe	r			TEC IN I	DENIFFICI		WNEDCI		Expire	es: Jar	uary 31, 2005	
subject to STATEMENT OF CHAIN				SECURI	WINEKSI	HIP OF		mated average				
Section 16. Form 4 or			bleen					burde respo	n hours pe nse	r 0.5		
Form 5	, ^				Securities		•					
obligations may continue. See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940												
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person * 2. Issuer N BOCKEL DAVID R Symbol				Name and Ticker or Trading 5. Rel Issuer				ationship of Reporting Person(s) to				
			FIDELI7 [LION]	ELITY SOUTHERN CORP N]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of (Month/Da	Earliest Tra 1y/Year)	insaction		0	Director Officer (give t		· •		
3490 PIEDM 1550	ONT ROAD,	SUITE	11/08/20	13			below)		belo)w)		
(Street) 4. If Amen- Filed(Month				h/Day/Year) Applic				vidual or Joint/Group Filing(Check able Line) orm filed by One Reporting Person				
ATLANTA,	GA 30305									One Reportin	g	
(City)	(State)	(Zip)	Table	I - Non-De	erivative Secu	irities	Acquired, D	Disposed of,	or Ben	eficially Ow	vned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5)				5. Amount Securities Beneficiall Owned Following Reported Transaction	ly	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 an		(111541. 1)		
Fidelity				code v	7 mount	(D)	Thee					
Southern Corporation - Common Stock	11/08/2013			A <u>(1)</u>	225.7238	А	\$ 15.4946	32,939.0	538	D		
Fidelity												
Southern Corporation - Common Stock								4,266		I	By Ira	
								290		I		

1

Fidelity Southern Corporatio - Common Stock								By Spous	se
Reminder: R	eport on a sep	arate line for each cla	ss of securities benef	Person inform require	ns who reation con ation con ed to resp ys a curre	or indirectly. spond to the col tained in this fo ond unless the ntly valid OMB o	rm are not form	SEC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants				ned		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Am Underlying Sect (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 6.15					01/19/2013 <u>(2)</u>	01/19/2017	Fidelity Southern Corporation - Common Stock	6,666

Reporting Owners

Reporting Owner Name / Address								
	Director	10% Owner	Officer	Other				
BOCKEL DAVID R 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	Х							
Signatures								
Barbara McNeill, Attorney in F Bockel	d R.	11/12/2013						
<u>**</u> Signature of Reporting P		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.