FIDELITY SOUTHERN CORP

Form 4

September 09, 2013

FORM	4								OMB APPI	ROVAL
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							MISSION	OMB Number:	3235-0287
Check this if no longe subject to Section 16 Form 4 or Form 5	STATEMI 5.		OF CHANGES IN BENEFICIAL OWNE SECURITIES Section 16(a) of the Securities Exchange A							•
obligation may contin See Instruc 1(b).	s Section 17(a)	of the Pub	olic Util	ity Holdi		ıny A	ct of 193			
(Print or Type R	esponses)									
1. Name and Ad BOCKEL DA	ldress of Reporting Pe AVID R	Sy	mbol		Ticker or Tra		5. F Issu	ier	Reporting Person(s) to
			ION]					(Check	all applicable)	
(Last)	, ,	(Month/Day			ne.				tle 10% Ow below) Other (s	
3490 PIEDM 1550	IONT ROAD, SU	ITE 09	9/06/201	13				,	,	
	(Street)			lment, Date /Day/Year)	e Original		App _X_	olicable Line) _ Form filed by O	nt/Group Filing(Cone Reporting Person one than One Report	l
ATLANTA,	GA 30305						Pers		ne man one report	.ing
(City)	(State) (Z	Cip)	Table 1	I - Non-De	rivative Sec	urities	Acquire	d, Disposed of,	or Beneficially (Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securitie Disposed of (Instr. 3, 4;	f (D)	Price	Securities Beneficially Owned Following Reported Transaction (Instr. 3 and	Ownership Form: Direct (D) or Indirect (I) (s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Fidelity Southern Corporation - Common Stock	09/06/2013			A(1)	34.8548		\$ 14.2735	₅ 32,641.46	46 D	
Fidelity Southern Corporation - Common Stock								4,266	I	By Ira

290

I

Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

Fidelity By Southern Spouse

Corporation - Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. onNumber	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	
	Derivative				Securities	•	
	Security				Acquired		
					(A) or		
					Disposed		
					of (D)		
					(Instr. 3,		
					4, and 5)		

Amount or

Date Exercisable Expiration

Title

Number of

Code V (A) (D)

Shares

6,666

Stock Option (Right to

Buy)

\$ 6.15

01/19/2013(2) 01/19/2017 Corporation

- Common

Fidelity

Southern

Stock

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

BOCKEL DAVID R 3490 PIEDMONT ROAD **SUITE 1550**

X

ATLANTA, GA 30305

Signatures

Barbara McNeill, Attorney in Fact, David R. **Bockel**

09/09/2013

**Signature of Reporting Person

Date

Reporting Owners 2

Edgar Filing: FIDELITY SOUTHERN CORP - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous month.
- (2) Exercisable: 1/3 on 1/19/13; 1/3 on 1/19/14; 1/3 on 1/19/15

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.