

STRICKLAND WILLIAM W JR
Form 3
February 12, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
STRICKLAND WILLIAM W JR			(Month/Day/Year)	PRICE T ROWE GROUP INC [TROW]	
(Last)	(First)	(Middle)		4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
100 E. PRATT STREET				(Check all applicable)	
(Street)				____ Director	____ 10% Owner
BALTIMORE, MD 21202				☒ Officer	____ Other
(City)	(State)	(Zip)		(give title below)	(specify below)
				Vice President	
				6. Individual or Joint/Group Filing(Check Applicable Line)	
				☒ Form filed by One Reporting Person	
				☐ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	12,102.848	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Title			

Edgar Filing: STRICKLAND WILLIAM W JR - Form 3

		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	12/11/2004 ⁽¹⁾	12/11/2013	Common Stock	5,484	\$ 21.3907	D	Â
Stock Options (Right to Buy)	12/20/2005 ⁽²⁾	12/20/2014	Common Stock	3,656	\$ 30.3014	D	Â
Stock Options (Right to Buy)	10/03/2006 ⁽³⁾	10/03/2015	Common Stock	4,061	\$ 32.118	D	Â
Stock Options (Right to Buy)	11/01/2007 ⁽⁴⁾	11/01/2016	Common Stock	2,214	\$ 45.4792	D	Â
Stock Options (Right to Buy)	09/06/2008 ⁽⁵⁾	09/06/2017	Common Stock	4,061	\$ 49.2503	D	Â
Stock Options (Right to Buy)	09/04/2009 ⁽⁶⁾	09/04/2018	Common Stock	10,156	\$ 56.2017	D	Â
Stock Options (Right to Buy)	11/01/2010 ⁽⁷⁾	02/12/2019	Common Stock	7,311	\$ 27.0473	D	Â
Stock Options (Right to Buy)	11/01/2010 ⁽⁸⁾	09/10/2019	Common Stock	9,140	\$ 44.4552	D	Â
Stock Options (Right to Buy)	11/01/2011 ⁽⁹⁾	02/18/2020	Common Stock	7,616	\$ 48.8368	D	Â
Stock Options (Right to Buy)	11/01/2011 ⁽¹⁰⁾	09/08/2020	Common Stock	7,616	\$ 46.9365	D	Â
Stock Options (Right to Buy)	11/01/2012 ⁽¹¹⁾	02/17/2021	Common Stock	7,616	\$ 69.2478	D	Â
Stock Options (Right to Buy)	11/01/2012 ⁽¹²⁾	09/08/2021	Common Stock	7,617	\$ 49.7721	D	Â
Stock Options (Right to Buy)	12/10/2013 ⁽¹³⁾	02/23/2022	Common Stock	3,807	\$ 60.5438	D	Â
Stock Options (Right to Buy)	12/10/2013 ⁽¹⁴⁾	09/06/2022	Common Stock	3,807	\$ 62.3161	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STRICKLAND WILLIAM W JR 100 E. PRATT STREET BALTIMORE, MD 21202	Â	Â	Â Vice President	Â

Signatures

/s/William W.
Strickland, Jr.

02/11/2013

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 12/11/2003 Grant - The option vests 20% annually over a 5 year period beginning on 12/11/2004.
- (2) 12/20/2004 Grant - The option vests 20% annually over a 5 year period beginning on 12/20/2014.
- (3) 10/03/2005 Grant - The option vests 20% annually over a 5 year period beginning on 10/03/2006.
- (4) 11/01/2006 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2007.
- (5) 09/06/2007 Grant - The option vests 20% annually over a 5 year period beginning on 09/06/2008.
- (6) 09/04/2008 Grant - The option vests 20% annually over a 5 year period beginning on 09/04/2009.
- (7) 02/12/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (8) 09/10/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (9) 02/18/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (10) 09/08/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (11) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.
- (12) 09/08/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.
- (13) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (14) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.