

ILLUMINA INC
Form SD
May 25, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM SD

SPECIALIZED DISCLOSURE REPORT

Illumina, Inc.
(Exact name of the registrant as specified in its charter)

001-35406
(Commission File Number)

Delaware 33-0804655
(State or other jurisdiction of incorporation) (I.R.S. Employer Identification No.)

5200 Illumina Way, San Diego, CA 92122
(Address of principal executive offices) (Zip code)

(858) 202-4500
(Name and
telephone
number,
including area
code, of the
person to contact
in connection
with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

☐ Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to
☒ December 31, 2015.

Section 1 - Conflict Minerals Disclosure

Illumina, Inc. (“Illumina”) develops, manufactures, and markets life science tools and integrated systems for the analysis of genetic variation and function.

Certain products manufactured by Illumina contain one or more of gold, tantalum, tin and tungsten (3TG). Illumina is committed to responsible sourcing practices that are designed to reduce the risk that our sourcing practices support conflict or human rights abuses in the Democratic Republic of Congo (DRC) or an adjoining country.

Item 1.01 Conflict Minerals Disclosure and Report

Illumina has concluded in good faith that during 2015:

- a) Illumina manufactured products for which “conflict minerals” (as defined in item 1.01(d)(3) of Form SD) are necessary to the functionality or production.
- b) Based on its reasonable country of origin inquiry, Illumina has reason to believe that its necessary conflict minerals may have originated in the Democratic Republic of the Congo or an adjoining country.

In accord with Rule 13p-1 under the Securities Exchange Act of 1934, Illumina has filed this Specialized Disclosure Report on Form SD and the associated Conflict Minerals Report, and both reports are posted to a publicly available Internet site at www.Illumina.com.

Item 1.02 Exhibits

The Conflict Minerals Report required by Item 1.01 is filed as Exhibit 1.01 to this Form SD.

Section 2 - Exhibits

Item 2.01 Exhibits

Exhibit 1.01 - Conflict Minerals Report for the reporting period January 1, 2015 to December 31, 2015.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

ILLUMINA, INC.
(registrant)

Date: May 25, 2016 /s/ Robert P. Ragusa
Robert P. Ragusa
Senior Vice President, Global Quality and Operations