#### MKS INSTRUMENTS INC

Form 4

October 16, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0287 Number: January 31,

**OMB APPROVAL** 

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Common

10/13/2006

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * KLIMM ROBERT L			2. Issuer Name <b>and</b> Ticker or Trading Symbol MKS INSTRUMENTS INC [MKSI]					5. Relationship of Reporting Person(s) to Issuer			
(Month			3. Date of (Month/D 10/12/20	•	ansaction			(Check all applicable)  Director 10% OwnerX Officer (give title Other (specify below)  V.P. & General Manager			
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
WILMINGTON, MA 01887  Form filed by More than One Reporting Person											
(City)	(State)	(Zip)	Table	e I - Non-D	erivative :	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Executio any	med n Date, if Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D) 5)  Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	10/12/2006			M <u>(1)</u>	5,500	A	\$ 16.88	15,500.38	D		
Common	10/12/2006			S(1)	5,500	D	\$ 21.4	10,000.38	D		
Common	10/13/2006			M(1)	5,500	A	\$ 16.88	15,500.38	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$ 

5,500

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

10,000.38

D

### Edgar Filing: MKS INSTRUMENTS INC - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

E S	Title of Perivative ecurity (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
(1	tock Option right to uy)	<u>(2)</u>	10/12/2006		M <u>(1)</u>	5,500	08/08/1988(3)	08/08/1988(4)	Common	5,50
(1	tock Option right to uy)	<u>(2)</u>	10/13/2006		M <u>(1)</u>	5,500	08/08/1988(3)	08/08/1988(4)	Common	5,50

## **Reporting Owners**

Director 10% Owner Officer Other

KLIMM ROBERT L 90 INDUSTRIAL WAY WILMINGTON, MA 01887

V.P. & General Manager

## **Signatures**

By: Joseph M.Tocci / POA 10/16/2006

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.
- (2) Option conversion price is \$16.88 per share for option transactions reported on this filing.

Reporting Owners 2

### Edgar Filing: MKS INSTRUMENTS INC - Form 4

- (3) Stock Option Grants include multiple vest dates.
- (4) Expires 10 years after date of grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.