### Edgar Filing: ANWORTH MORTGAGE ASSET CORP - Form 5

#### ANWORTH MORTGAGE ASSET CORP

Form 5

January 24, 2007

# FORM 5

	UNITED S	STATES SECUR				SE CC	DMMISSION	Number:	3235-0362	
Check this	subject	$m{\Theta}$							January 31, 2005	
to Section Form 4 or 5 obligation may contin	Form ANN ons nue.	ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per response		
See Instruction 1(b). Form 3 Horizon A Horizon 4 Transaction Reported	Filed purs	suant to Section 10 a) of the Public Ut 30(h) of the In	ility Holding	g Compa	ny A	ct of 1	1935 or Sectio	on		
. Name and A AULT LEE	ddress of Reporting F A III	Symbol	Name <b>and</b> Tick RTH MORT ANH]			]	5. Relationship of ssuer (Chec	f Reporting Pers		
(Last)	(First) (M	(Month/D 12/31/20	•	Fiscal Yea	ar End	_	_X Director Officer (give pelow)		Owner er (specify	
ND FLOO	R, 1299 OCEA		300							
	(Street)		ndment, Date ( th/Day/Year)	Original		(	6. Individual or Jo	oint/Group Rep		
SANTA MO	ONICA, CA 90	0401				-	X_ Form Filed by Form Filed by Person			
(City)	(State)	Zip) Table	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed o	f, or Beneficial	ly Owned	
Title of Security Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3,	l (A) of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	07/03/2006	Â	A4	2,000	A	\$0	8,000	D	Â	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
						Exercisable	Date		of		
					(A) (D)				Shares		
					(4) (1)				SHales		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting of the real of	Director	10% Owner	Officer	Othe			
AULT LEE A III							
2ND FLOOR	â v	Â	â	â			
1299 OCEAN AVE.	AA	Α	A	A			
SANTA MONICA, CA 90401							

# **Signatures**

/s/ Lee A. Ault,
III

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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