Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

UNITED TECHNOLOGIES CORP /DE/

Form 4

November 27, 2006

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

See Instruction 30(n) of the Investment Com

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

BUCKNALL WILLIAM L JR		U.	Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX]					Issuer (Check all applicable)			
(Last)		(N	Date of Earl Month/Day/Y	ransaction			Director 10% Owner X Officer (give title Other (specify below)				
CORPORA	TECHNOLOGIES ATION, ONE AL PLAZA	11	1/24/2006					SVP, Human	n Resources &	COrg.	
	(Street)	4.	If Amendme	ent. Da	ate Origina	ıl	6.	Individual or Joi	nt/Group Filin	g(Check	
	iled(Month/Da		_	<u>-</u>		6. Individual or Joint/Group Filing(Check Applicable Line)					
HARTFOR	RD, CT 06101					_	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I -	Non-E	Derivative	Secui	ities Acquir	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	Code (Instr. 3, 4 and 5)			(D)	Securities Ownership Indirect Beneficially Form: Benefic Owned Direct (D) Owners		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	e V	Amount	or (D)	Price	(Instr. 3 and 4)	(======================================		
Common Stock	11/24/2006		M		69,080	A	\$ 31.25	132,819	D		
Common Stock	11/24/2006		S		4,000	D	\$ 65.6	128,819	D		
Common Stock	11/24/2006		S		2,000	D	\$ 65.63	126,819	D		
Common Stock	11/24/2006		S		2,000	D	\$ 65.6455	124,819	D		
	11/24/2006		S		2,000	D	\$ 65.652	122,819	D		

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock								
Common Stock	11/24/2006	S	10,000	D	\$ 65.7	112,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.72	108,819	D	
Common Stock	11/24/2006	S	2,000	D	\$ 65.7222	106,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.7008	102,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.73	98,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.75	94,819	D	
Common Stock	11/24/2006	S	2,000	D	\$ 65.765	92,819	D	
Common Stock	11/24/2006	S	8,000	D	\$ 65.8	84,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.85	80,819	D	
Common Stock	11/24/2006	S	4,000	D	\$ 65.8715	76,819	D	
Common Stock	11/24/2006	S	12,000	D	\$ 65.9	64,819	D	
Common Stock	11/24/2006	S	1,080	D	\$ 66	63,739 (1)	D	
Common Stock						1,872.82	I	By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date	Underlying Secur
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		
	Derivative				or Disposed of		

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Security (D) (Instr. 3, 4,

and 5)

Expiration Code V (A) Title (D) Date

Exercisable Date

Non-Qualified

(right to buy)

Stock Option \$ 31.25 11/24/2006

M

69,080 01/03/2003 01/02/2010

Common 69 Stock

An

or Nu of S

Reporting Owners

Relationships **Reporting Owner Name / Address**

> Director 10% Owner Officer Other

BUCKNALL WILLIAM L JR UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101

SVP, Human Resources & Org.

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

11/27/2006

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 27,448 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3