Edgar Filing: WHEELER LOREN PAUL - Form 5

WHEELER LOREN PAUL

Form 5

October 07, 2002

Form 5

[] Check this box if no

Section 16.

longer Subject to

Form 3 Holdings

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

OMB APPROVAL OMB Number:

3235-0362

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2005

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Estimated average

burden

Investment Company Act of 1940

hours per response. . .

0.5

Reported Form 4 Transaction Reported	ns											
Name and Addres Wheeler, Loren Pau		Issuer Name and Ticker or Trading Symbol Rick's Cabaret International, Inc. (RICK)						6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (F	3. I.R.S. Identification Number of Reporting Pers if an entity (voluntary)	M S	4. Statement for Month/Day/Year September 2002				Director 10% Owner Symbol Control Other Other Other below)					
								Vice President				
Houston, Texas 770		_	5. If Amendment, Date of Original (Month/Year)				7. Individual or Joint/Group Filing (Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) ((State) (Zip)	Table I - No	on-De	rivat	ive Securit		Acquired wned	d, Disposed o	of, or Bei	neficially		
Title of Security nstr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Trans Code (Instr.	!	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)) ` ´	5. Amount of Securities Beneficially Owned at	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	e V	Amount		Price	End of Issuer's Fiscal Year (Instr. 3 and 4)				
ommon stock, par alue \$.01	09/18/2002		P4		300	Α	\$2.28	300	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Over) SEC 1474 (3-99)

FORM 5 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

itle of rivative curity str.3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	4. Transacti Code (Instr. 8)	5. Numb onDerivativ Securitie Acquired or Dispo of (D) (Instr. 3, and 5)	ve es d (A) sed	6. Date Exercisable and Expiration Date (Month/Day/Year)	Amount o Underlyin Securities	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		9.Number of Derivative Securities Beneficially Owned at End of Year	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)	11. Natu of Indirect Benefici Owners (Instr. 4
			Code	(A)	(D)		Title			(Instr. 4)	(Instr. 4)	

^{*} If the form is filed by more than one reporting person, see Instructions 4(b)(v).

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					Date Exercisable	Expiration Date		Amount or Number of Shares			
tion on mmon ck	\$2.56	11-99	АЗ	5,000	11-00	11-04	common stock	5,000	74,000	D	
tion on mmon ck	\$2.56	11-99	АЗ	5,000	11-01	11-04	common stock	5,000	74,000	D	
tion on mmon ck	\$2.56	05-02	А3	32,000	05-03	05-07	common stock	32,000	74,000	D	
tion on mmon ck	\$2.56	05-02	А3	32,000	05-04	05-07	common stock	32,000	74,000	D	

Explanation of Responses:

**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).	/s/ Loren Paul Wheeler	10/04/2002	
	· / -	**Signature of Reporting Person	Date	

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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