YRC Worldwide Inc. Form 4

February 27, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Add O'Connor Tho	*	_	2. Issuer Name and Ticker or Trading Symbol YRC Worldwide Inc. [YRCW]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle		(Middle)	3. Date of Earliest Transaction	( ==== un uppneusie)			
10990 ROE A	VENUE		(Month/Day/Year) 02/23/2017	Director 10% Owner X_ Officer (give title Other (specify below) below)  President, USF Reddaway Inc.			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
	(Sirect)		Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
OVERLAND	PARK, KS	66211		Form filed by More than One Reporting Person			

(City)	(State) (	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/23/2017		F(1)	1,453	D	\$ 12.56	45,428	D	
Common Stock	02/23/2017		F(2)	3,061	D	\$ 12.56	42,367	D	
Common Stock	02/24/2017		S	3,000	D	\$ 12.61 (3)	39,367	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						`
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

O'Connor Thomas Joseph III 10990 ROE AVENUE OVERLAND PARK, KS 66211

President, USF Reddaway Inc.

## **Signatures**

/s/Leah K. Dawson, Attorney-in-Fact for Thomas O'Connor

02/27/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 23, 2017, 2,776 restricted shares of Mr. O'Connor's Company stock, granted March 9, 2015, vested. On February 23, 2017,

  Mr. O'Connor automatically surrendered 1,453 of the 2,776 newly-vested shares to the Company to satisfy the tax withholding obligation triggered upon the February 23rd vesting. The automatic surrender of newly-vested shares is the Company's default process for paying tax withholding obligations triggered upon the vesting of restricted stock.
- On February 23, 2017, 5,441 restricted shares of Mr. O'Connor's Company stock, earned February 15, 2016, vested. On February 23, 2017, Mr. O'Connor automatically surrendered 3,061 of the 5,441 newly-vested shares to the Company to satisfy the tax withholding obligation triggered upon the February 23rd vesting. The automatic surrender of newly-vested shares is the Company's default process for paying tax withholding obligations triggered upon the vesting of restricted stock.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$12.60 to \$12.61, inclusive. Mr. O'Connor undertakes to provide to YRC Worldwide Inc., any security holder of YRC Worldwide Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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