Edgar Filing: FITZPATRICK MICHAEL J - Form 4/A

FITZPATRICK MICHAEL J

Form 4/A March 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

response...

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

FITZPATRICK MICHAEL J			Symbol OCEANFIRST FINANCIAL CORP [OCFC]					Issuer (Check all applicable)			
(Last) (First) (Middle) 975 HOOPER AVENUE			3. Date of Earliest Transaction (Month/Day/Year) 01/24/2018					Director 10% Owner Solution			
(Street) TOMS RIVER, NJ 08754			4. If Amendment, Date Original Filed(Month/Day/Year) 01/25/2018					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	Executi any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	4. SecurionAcquirectoisposecto (Instr. 3,	d (A) of d of (D 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/24/2018			A	4,626	A	\$0	124,587	D (1)		
Common Stock	01/24/2018			A	8,577	A	\$0	133,164	D (2)		
Common Stock								2,621	I	By 401(k)	
Common Stock								80,592	I	By Esop	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 27.4	01/24/2018		A	7,767	03/01/2019	01/24/2028	Common Stock	7,767
Stock Option (right to buy)	\$ 10					02/17/2011	02/17/2020	Common Stock	29,770
Stock Option (right to buy)	\$ 13.87					02/18/2012	02/18/2021	Common Stock	30,000
Stock Option (right to buy)	\$ 13.83					02/15/2013	02/15/2022	Common Stock	30,000
Stock Option (right to buy)	\$ 14.62					02/15/2014	02/15/2023	Common Stock	22,500
Stock Option (right to buy)	\$ 17.75					03/01/2015	03/19/2024	Common Stock	22,500
Stock Option (right to buy)	\$ 17.37					03/01/2016	03/18/2025	Common Stock	22,500

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Stock Option (right to buy)	\$ 17.28	03/01/2017	03/16/2026	Common Stock	22,500
Stock Option (right to buy)	\$ 29.01	03/01/2018	03/15/2027	Common Stock	22,500

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

FITZPATRICK MICHAEL J 975 HOOPER AVENUE TOMS RIVER, NJ 08754

Executive Vice President & CFO

Signatures

/s/ Steven J. Tsimbinos, Power of Attorney 03/13/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares awarded. Such shares vest in three equal annual installments beginning on March 1, 2019. Total includes other restricted shares that vest in the future.
- One third of such shares vest on each of March 1, 2019, 2020, and 2021 at approximately 60% or approximately 80% to 100% depending (2) on the attainment of defined performance criteria for each of the calendar years ended 2018, 2019, and 2020, or are forfeited if threshold performance is not met.
- (3) Options vest in three equal annual installments beginning on the date first exercisable.
- (4) Options vest in five equal annual installments beginning on the date first exercisable.
- (5) This form is being filed to correct the vesting period of this stock option award. Such option vest in three equal annual installments beginning on the first date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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