WALT DISNEY CO/ Form 4

December 01, 2016

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* BRAVERMAN ALAN N

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

WALT DISNEY CO/[DIS]

Director 10% Owner

(Check all applicable)

500 SOUTH BUENA VISTA

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

11/29/2016

X\_ Officer (give title Other (specify below)

STREET

Sr EVP, General Counsel & Secy

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

(Instr. 8)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

BURBANK, CA 91521

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

(Instr. 4)

(9-02)

(A)

Code V Amount (D) Price

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Securities Code Acquired (A) or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amou Underlying Secur (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha
Restricted Stock Unit	(1)	11/29/2016 <u>(2)</u>		A	2,088.2348		(3)	12/17/2016	Disney Common Stock	2,
Restricted Stock Unit	<u>(1)</u>	11/29/2016(4)		A	2,494.8709		(3)	12/18/2016	Disney Common Stock	2,
Restricted Stock Unit	<u>(1)</u>	11/29/2016 <sup>(5)</sup>		A	3,225.1039		(3)	12/19/2016	Disney Common Stock	3,
Restricted Stock Unit	<u>(1)</u>	11/29/2016 <u>(6)</u>		A	18,764.6206		(3)	12/19/2016	Disney Common Stock	18
Restricted Stock Unit	(1)	11/29/2016 <u>(7)</u>		A	3,879.4354		<u>(3)</u>	01/16/2017	Disney Common Stock	3,

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

BRAVERMAN ALAN N 500 SOUTH BUENA VISTA STREET BURBANK, CA 91521

Sr EVP, General Counsel & Secy

### **Signatures**

Roger J. Patterson (POA on file) 12/01/2016

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts at 1-for-1.
- (2) Restricted stock units were awarded during the Company's 2016 fiscal year and were subject to performance conditions, which were satisfied as to 2088.2348 shares (including accumulated dividend equivalents) on November 29, 2016.
- (3) The stock unit award vests on the Expiration Date.
- (4) Restricted stock units were awarded during the Company's 2015 fiscal year and were subject to performance conditions, which were satisfied as to 2494.8709 shares (including accumulated dividend equivalents) on November 29, 2016.
- (5) Restricted stock units were awarded during the Company's 2014 fiscal year and were subject to performance conditions, which were satisfied as to 3225.1039 shares (including accumulated dividend equivalents) on November 29, 2016.

Reporting Owners 2

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- Restricted stock units were awarded during the Company's 2014 fiscal year and were subject to performance conditions, which were (6) satisfied as to 18,764.6206 shares (including an adjustment to reflect the extent to which applicable performance criteria were met and accumulated dividend equivalents) on November 29, 2016.
- (7) Restricted stock units were awarded during the Company's 2013 fiscal year and were subject to performance conditions, which were satisfied as to 3879.4354 shares (including accumulated dividend equivalents) on November 29, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.